

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**OKI.615**

In Re Application Of: **Kinya ASHIKAGA**

Serial No.  
**NEW**

Filing Date  
**JANUARY 22, 2004**

Examiner  
**TO BE ASSIGNED**

Group Art Unit  
**TO BE ASSIGNED**

Title: **SEMICONDUCTOR MEMORY DEVICES AND METHOD OF FABRICATING SEMICONDUCTOR  
MEMORY DEVICE**

Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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MEMORY DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Signature

Dated: **JANUARY 22, 2004**

**ADAM C. VOLENTINE**  
REG. NO. 33,289

**VOLENTINE FRANCOS, P.L.L.C.**  
12200 SUNRISE VALLEY DRIVE, SUITE 150  
RESTON, VA 20191

TEL. NO.: (703) 715-0870

CC:

Docket Number (Optional) <b>OKI.615</b>	Application Number <b>NEW</b>
Applicant(s) <b>Kinya ASHIKAGA</b>	
Filing Date <b>January 22, 2004</b>	Group Art Unit <b>To Be Assigned</b>

[illegible]

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
A	JP2000269444	09/29/2000	JAPAN			Abstract	


DATE CONSIDERED

**SHEET 1 OF 1**